

PATENT
EXPRESS MAIL LABEL NO. EV154872026US

ATTORNEY DOCKET NO. W1200-00034

Enron
10-17-03

12



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Aman Safaei et al.**

Examiner: **Alex P. Rada**

Serial No.: **09/703,171**

Group Art Unit: **3714**

Filed: **October 31, 2000**

Confirmation No.: **9592**

For: **INTERACTIVE INTERNET WAGERING SYSTEM**

CERTIFICATION UNDER 37 C.F.R. 1.10

I hereby certify that this document (along with the enclosed RCE Transmittal and any documents referred to therein) is being deposited with the United States Postal Service on the date shown below with sufficient postage as "Express Mail Post Office to Addressee" Mailing Label Number EV154872026US to the following:

MAIL STOP RCE
Commissioner for Patents
Box 1450
Arlington, VA 22313-1450

Dated: October 9, 2003

Joseph A. Powers

Joseph A Powers

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
OCT 16 2003
TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Applicants would like to call to the attention of the Examiner a CIP application, Serial No. 09/911,932, "System and Method for Providing Return on Investment Data for Wagers," filed July 24, 2001 to the same inventors and a references, provided hereafter, cited in the prosecution thereof.

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☒ Together with the present Request for Continued Examination (RCE) Transmittal.
- ☐ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. **[37 CFR § 1.97(c)]**
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR § 1.97(d)]**

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.
- ☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.
- ☐ **Fee Under 37 CFR § 1.97(d)**

The fee of \$180.00 for submission of an information disclosure statement under § 1.97(d) set forth in § 1.17(p) accompanies this statement.
- ☐ **Certification Under 37 CFR § 1.97(e)**
 - () Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
 - () No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

Provision of Copies of References

- ☒ A copy of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ Partial Translations of References are enclosed herewith and form a part hereof.
- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this transmittal is attached.

Respectfully submitted,

DATE: October 9, 2003

Joseph A. Powers
Joseph A. Powers, Reg. No.: 47,006
Attorney for Applicant(s)

DUANE MORRIS LLP
One Liberty Place
Philadelphia, PA 19103-7396
Telephone: 215-979-1842
Fax: 215-979-1020